FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| ashington, | D.C. | 20549 |  |
|------------|------|-------|--|
| asimigton, | D.O. | 20040 |  |

| <b>STATEMENT</b> | <b>OF CHANGES</b> | IN BENEFICIAL | <b>OWNERSHIP</b> |
|------------------|-------------------|---------------|------------------|

| OMB APPROVAL      |           |  |  |  |  |  |  |
|-------------------|-----------|--|--|--|--|--|--|
| OMB Number:       | 3235-0287 |  |  |  |  |  |  |
| Estimated average | burden    |  |  |  |  |  |  |

0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|  | Address of F   | eporting Person*             |                                       | <u>Ar</u>  |  | <u>n Partne</u>  |                     | er or Trading s<br>Asset Mar                        |   | nt Inc. [   | (Che   | elationship o<br>ck all applic<br>Director                         | able)   | g Perso | on(s) to Issu<br>10% Ow<br>Other (s | ner |
|--|--|------------------------------|---------------------------------------|--|--|--|---------------------|---|---|---|--|--|---|---------|-------------------------------------|-----|
| (Last) C/O ARTI MANAGE   |  | ot) (N<br>TNERS ASSET        | /liddle)                              |  | 3. Date of Earliest Transaction (Month/Day/Year) 03/01/2021  |  |                     |   |   | below)  | ecutive V  | ⁄ice P   | below)  |         |                                     |     |
| 875 E WISCONSIN AVE., SUITE 800  |  |                              | 4. If                                 | 4. If Amendment, Date of Original Filed (Month/Day/Year) |  |  |                     |   | 6. Individual or Joint/Group Filing (Check Applicable Line) |   |  |  |   |         |                                     |     |
| (Street) MILWAUI   | KEE WI   | 5                            | 3202                                  | _  |  |  |                     |   |   |   | - 1  | Form fi  | led by Mor  | •       | rting Person<br>One Report          |     |
| (City)   | (Sta   | te) (Z                       | ip)                                   |  |  |  |                     |   |   |   |  |  |   |         |                                     |     |
|  | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                              |                                       |  |  |  |                     |   |   |   |  |  |   |         |                                     |     |
| Date   |  | ansaction<br>e<br>nth/Day/Ye | Execution Date,                       |  | Code (Instr  | Transaction Disposed Of (D) (Instr. 3, 4 Code (Instr. 5) |                     |   | 5. Amoun<br>Securities<br>Beneficia<br>Owned Fo<br>Reported | s Form<br>ally (D) o<br>ollowing (I) (In                        |  | n: Direct II<br>or Indirect E<br>nstr. 4) C                        | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |         |                                     |     |
|  |  |                              |                                       |  |  | Code V   | Amount              | (A) or<br>(D)                                       | Price   | Transaction(s)  |  |  | iiisu. 4)   |         |                                     |     |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |                              |                                       |  |  |  |                     |   |   |   |  |  |   |         |                                     |     |
| Derivative Conversion Date Execution Date, Security or Exercise (Month/Day/Year) if any  |  | Code                         | ransaction of Code (Instr. Derivative |  | 6. Date Exercisable and Expiration Date (Month/Day/Year)  7. Title and Am of Securities Underlying Derivative Section (Instr. 3 and 4) |  | es<br>J<br>Security | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |   |   | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |         |                                     |     |
|  |  |                              |                                       | Code   | v  | (A)  | (D)                 | Date<br>Exercisable                                 | Expiration<br>Date  | Title   | Amount<br>or<br>Number<br>of<br>Shares                                   |  |   |         |                                     |     |
| Performance<br>Share Units   | (1)  | 03/01/2021                   |                                       | A  |  | 18,808   |                     | (2)   | (2)   | Class A<br>Common<br>Stock,<br>par value<br>\$0.01 per<br>share | 18,808   | (1)  | 18,80   | 8       | D                                   |     |

## **Explanation of Responses:**

- 1. Each performance share unit was granted under the Artisan Partners Asset Management Inc. 2013 Omnibus Incentive Plan and represents a contingent right to receive one share of Class A common stock, par value \$0.01 per share.
- 2. The performance share units vest based on continued service and performance conditions tied to relative total shareholder return and relative adjusted operating margin over a performance period extending from January 1, 2021 through December 31, 2023.

/s/Lisa A. Moran, attorney-infact for Mr. Krein

03/01/2021

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.