

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of
The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 6, 2023

Artisan Partners Asset Management Inc.

(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction of
incorporation or organization)

001-35826
(Commission file number)

45-0969585
(I.R.S. Employer
Identification No.)

875 E. Wisconsin Avenue, Suite 800
Milwaukee, WI 53202

(Address of principal executive offices and zip
code)

(414) 390-6100
(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol	Name of each exchange on which registered
Class A common stock, par value \$0.01 per share	APAM	New York Stock Exchange

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers

On April 6, 2023, Sarah A. Johnson, Executive Vice President, Chief Legal Officer and Secretary of Artisan Partners Asset Management Inc. (the “Company”), provided notice of her intention to retire effective March 1, 2024. Laura E. Simpson, general counsel of Artisan Partners, will transition into the role of Executive Vice President, Chief Legal Officer and Corporate Secretary. We expect Ms. Simpson’s appointment to take place in the fourth quarter of 2023.

Item 8.01 Other Events

On April 12, 2023, Artisan Partners Asset Management Inc. (the “Company”) issued a press release reporting certain information about the Company’s preliminary assets under management as of March 31, 2023. A copy of the press release is attached hereto as Exhibit 99.1 and is incorporated herein by reference.

The information in this Item 8.01, including the exhibit incorporated herein by reference, shall be deemed “filed” for purposes of the Securities Exchange Act of 1934, as amended, and shall be deemed incorporated by reference in the Company’s filings under the Securities Act of 1933, as amended.

Item 9.01 Financial Statements and Exhibits

Exhibit Number	Description of Exhibit
99.1	Press Release of Artisan Partners Asset Management Inc. dated April 12, 2023
104	Cover Page Interactive Data File (embedded within the Inline XBRL document)

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: April 12, 2023

Artisan Partners Asset Management Inc.

By: /s/ Charles J. Daley,
Jr.
Name: Charles J. Daley, Jr.
Executive Vice
President, Chief
Financial Officer
Title: and Treasurer

Artisan Partners Asset Management Inc. Reports March 2023 Assets Under Management

Milwaukee, WI - April 12, 2023 - Artisan Partners Asset Management Inc. (NYSE: APAM) today reported that its preliminary assets under management ("AUM") as of March 31, 2023 totaled \$138.5 billion. Artisan Funds and Artisan Global Funds accounted for \$67.0 billion of total firm AUM, while separate accounts and other AUM¹ accounted for \$71.5 billion.

PRELIMINARY ASSETS UNDER MANAGEMENT BY STRATEGY²

As of March 31, 2023 - (\$ Millions)

Growth Team	
Global Opportunities	\$ 20,329
Global Discovery	1,551
U.S. Mid-Cap Growth	11,792
U.S. Small-Cap Growth	3,410
Global Equity Team	
Global Equity	429
Non-U.S. Growth	13,805
Non-U.S. Small-Mid Growth	7,176
China Post-Venture	180
U.S. Value Team	
Value Equity	3,516
U.S. Mid-Cap Value	2,834
Value Income	11
International Value Team	
International Value	34,383
International Explorer	178
Global Value Team	
Global Value	22,547
Select Equity	332
Sustainable Emerging Markets Team	
Sustainable Emerging Markets	838
Credit Team	
High Income	7,876
Credit Opportunities	156
Floating Rate	46
Developing World Team	
Developing World	3,740
Antero Peak Group	
Antero Peak	2,710
Antero Peak Hedge	577
EMsights Capital Group	
Global Unconstrained	17
Emerging Markets Debt Opportunities	54
Emerging Markets Local Opportunities	11
Total Firm Assets Under Management ("AUM")	\$ 138,498

¹ Separate account and other AUM consists of the assets we manage in or through vehicles other than Artisan Funds or Artisan Global Funds. Separate account and other AUM includes assets we manage in traditional separate accounts, as well as assets we manage in Artisan-branded collective investment trusts, and in our own private funds.

² AUM for certain strategies include the following amounts for which Artisan Partners provides investment models to managed account sponsors (reported on a one-month lag): Artisan Sustainable Emerging Markets \$53 million.



ABOUT ARTISAN PARTNERS

Artisan Partners is a global investment management firm that provides a broad range of high value-added investment strategies to sophisticated clients around the world. Since 1994, the firm has been committed to attracting experienced, disciplined investment professionals to manage client assets. Artisan Partners' autonomous investment teams oversee a diverse range of investment strategies across multiple asset classes. Strategies are offered through various investment vehicles to accommodate a broad range of client mandates.

Investor Relations Inquiries: 866.632.1770 or ir@artisanpartners.com

Source: Artisan Partners Asset Management Inc.