

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of  
The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): December 11, 2023

Artisan Partners Asset Management Inc.

(Exact name of registrant as specified in its charter)

Delaware  
(State or other jurisdiction of  
incorporation or organization)

001-35826  
(Commission file number)

45-0969585  
(I.R.S. Employer  
Identification No.)

875 E. Wisconsin Avenue, Suite 800  
Milwaukee, WI 53202

(Address of principal executive offices and zip  
code)

(414) 390-6100  
(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol	Name of each exchange on which registered
Class A common stock, par value \$0.01 per share	APAM	New York Stock Exchange

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

**Item 8.01 Other Events**

On December 11, 2023, Artisan Partners Asset Management Inc. (the “Company”) issued a press release reporting certain information about the Company’s preliminary assets under management as of November 30, 2023. A copy of the press release is attached hereto as Exhibit 99.1 and is incorporated herein by reference.

The information in this Item 8.01, including the exhibit incorporated herein by reference, shall be deemed “filed” for purposes of the Securities Exchange Act of 1934, as amended, and shall be deemed incorporated by reference in the Company’s filings under the Securities Act of 1933, as amended.

**Item 9.01 Financial Statements and Exhibits**

<b>Exhibit Number</b>	<b>Description of Exhibit</b>
<b>99.1</b>	<a href="#"><u>Press Release of Artisan Partners Asset Management Inc. dated December 11, 2023</u></a>
<b>104</b>	Cover Page Interactive Data File (embedded within the Inline XBRL document)

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## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: December 11, 2023

Artisan Partners Asset Management Inc.

By: /s/ Charles J. Daley,  
Jr.  
Name: Charles J. Daley, Jr.  
Executive Vice  
President, Chief  
Financial Officer  
Title: and Treasurer

## Artisan Partners Asset Management Inc. Reports November 2023 Assets Under Management

Milwaukee, WI - December 11, 2023 - Artisan Partners Asset Management Inc. (NYSE: APAM) today reported that its preliminary assets under management ("AUM") as of November 30, 2023 totaled \$143.1 billion. Artisan Funds and Artisan Global Funds accounted for \$69.4 billion of total firm AUM, while separate accounts and other AUM<sup>1</sup> accounted for \$73.7 billion. In November, certain Artisan Funds made their annual income and capital gains distributions. November month-end AUM includes the impact of approximately \$350 million of Artisan Funds distributions not reinvested.

### PRELIMINARY ASSETS UNDER MANAGEMENT BY STRATEGY<sup>2</sup>

As of November 30, 2023 - (\$ Millions)

Growth Team	
Global Opportunities	\$ 20,073
Global Discovery	1,371
U.S. Mid-Cap Growth	11,900
U.S. Small-Cap Growth	2,968
Global Equity Team	
Global Equity	354
Non-U.S. Growth	12,814
Non-U.S. Small-Mid Growth	6,631
China Post-Venture	161
U.S. Value Team	
Value Equity	4,007
U.S. Mid-Cap Value	2,694
Value Income	12
International Value Team	
International Value	38,972
International Explorer	211
Global Value Team	
Global Value	24,085
Select Equity	317
Sustainable Emerging Markets Team	
Sustainable Emerging Markets	882
Credit Team	
High Income	8,808
Credit Opportunities	207
Floating Rate	57
Developing World Team	
Developing World	3,355
Antero Peak Group	
Antero Peak	1,947
Antero Peak Hedge	431
EMsights Capital Group	
Global Unconstrained	305
Emerging Markets Debt Opportunities	86
Emerging Markets Local Opportunities	435
Total Firm Assets Under Management ("AUM")	\$ 143,083

<sup>1</sup> Separate account and other AUM consists of the assets we manage in or through vehicles other than Artisan Funds or Artisan Global Funds. Separate account and other AUM includes assets we manage in traditional separate accounts, as well as assets we manage in Artisan-branded collective investment trusts, and in our own private funds.

<sup>2</sup> AUM for certain strategies include the following amounts for which Artisan Partners provides investment models to managed account sponsors (reported on a one-month lag): Artisan Sustainable Emerging Markets \$75 million.



#### ABOUT ARTISAN PARTNERS

Artisan Partners is a global investment management firm that provides a broad range of high value-added investment strategies to sophisticated clients around the world. Since 1994, the firm has been committed to attracting experienced, disciplined investment professionals to manage client assets. Artisan Partners' autonomous investment teams oversee a diverse range of investment strategies across multiple asset classes. Strategies are offered through various investment vehicles to accommodate a broad range of client mandates.

Investor Relations Inquiries: 866.632.1770 or [ir@artisanpartners.com](mailto:ir@artisanpartners.com)

Source: Artisan Partners Asset Management Inc.