

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

**Pursuant to Section 13 OR 15(d) of
The Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported): December 10, 2019

Artisan Partners Asset Management Inc.
(Exact name of registrant as specified in its charter)

Delaware
*(State or other jurisdiction of
incorporation or organization)*

001-35826
(Commission file number)

45-0969585
*(I.R.S. Employer
Identification No.)*

**875 E. Wisconsin Avenue, Suite 800
Milwaukee, WI 53202**
*(Address of principal executive offices and zip
code)*

(414) 390-6100
(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

| Title of each class | Trading Symbol | Name of each exchange on which registered |
|--|----------------|---|
| Class A common stock, par value \$0.01 per share | APAM | New York Stock Exchange |

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Item 8.01 Other Events

On December 10, 2019, Artisan Partners Asset Management Inc. (the “Company”) issued a press release reporting certain information about the Company’s assets under management as of November 30, 2019. A copy of the press release is attached hereto as Exhibit 99.1 and is incorporated herein by reference.

The information in this Item 8.01, including the exhibit incorporated herein by reference, shall be deemed “filed” for purposes of the Securities Exchange Act of 1934, as amended, and shall be deemed incorporated by reference in the Company’s filings under the Securities Act of 1933, as amended.

Item 9.01 Financial Statements and Exhibits

| Exhibit Number | Description of Exhibit |
|---------------------------|--|
| 99.1 | <u>Press Release of Artisan Partners Asset Management Inc. dated December 10, 2019</u> |
| 104 | Cover Page Interactive Data File (embedded within the Inline XBRL document) |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: December 10, 2019

Artisan Partners Asset Management Inc.

| | |
|--------|---|
| By: | <u>/s/ Charles J. Daley, Jr.</u> |
| Name: | Charles J. Daley, Jr. |
| | Executive Vice President, Chief Financial Officer and |
| Title: | Treasurer |



Artisan Partners Asset Management Inc. Reports November 2019 Assets Under Management

Milwaukee, WI - December 10, 2019 - Artisan Partners Asset Management Inc. (NYSE: APAM) today reported that its assets under management ("AUM") as of November 30, 2019 totaled \$117.1 billion. Separate accounts¹ accounted for \$62.0 billion of total firm AUM, while Artisan Funds and Artisan Global Funds accounted for \$55.1 billion.

ASSETS UNDER MANAGEMENT BY STRATEGY

As of November 30, 2019 - (\$ Millions)

| | |
|---|-------------------|
| Growth Team | |
| Global Opportunities | \$ 18,587 |
| Global Discovery | 783 |
| U.S. Mid-Cap Growth | 11,043 |
| U.S. Small-Cap Growth | 3,650 |
| Global Equity Team | |
| Global Equity | 1,878 |
| Non-U.S. Growth | 23,277 |
| Non-U.S. Small-Mid Growth | 2,017 |
| U.S. Value Team | |
| Value Equity | 2,928 |
| U.S. Mid-Cap Value | 4,319 |
| International Value Team | |
| Non-U.S. Value | 20,960 |
| Global Value Team | |
| Global Value | 18,933 |
| Sustainable Emerging Markets Team | |
| Sustainable Emerging Markets | 219 |
| Credit Team | |
| High Income | 3,666 |
| Developing World Team | |
| Developing World | 3,086 |
| Thematic Team | |
| Thematic | 1,166 |
| Other Assets Under Management ² | 573 |
| Total Firm Assets Under Management ("AUM") | \$ 117,085 |

¹ Separate account AUM consists of the assets we manage in or through vehicles other than Artisan Funds or Artisan Global Funds. Separate account AUM includes assets we manage in traditional separate accounts, as well as assets we manage in Artisan-branded collective investment trusts, in funds (both public and private) that we sub-advise, and in our own privately offered funds.

² Other Assets Under Management includes AUM managed by the Credit Team in the Credit Opportunities strategy and by the Thematic Team in the Thematic Long/Short strategy, respectively. Strategy specific information has been omitted.

ABOUT ARTISAN PARTNERS

Artisan Partners is a global investment management firm that provides a broad range of high value-added investment strategies to sophisticated clients around the world. Since 1994, the firm has been committed to attracting experienced, disciplined investment professionals to manage client assets. Artisan Partners' autonomous investment teams oversee a diverse range of investment strategies across multiple asset classes. Strategies are offered through various investment vehicles to accommodate a broad range of client mandates.

Investor Relations Inquiries: 866.632.1770 or ir@artisanpartners.com

Source: Artisan Partners Asset Management Inc.